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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/063,986

05/31/2002

Amit Jain

BEA920020003

25253 IBM CORPORATION IP LAW DEPT, ED02-905 15450 SW KOLL PARKWAY

BEAVERTON, OR 97006-6063



CONFIRMATION NO. 3822 FORMALITIES LETTER

OC000000008261756

Date Mailed: 06/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/21/2002 GMORDOF1 00000020 090465 10063986

01 FC:105

130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



COPY OF PAPERS ORIGINALLY FILE



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

correspondence is being deposited on the this date with the United States Postal Service as first class mail in an

envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Reg. No. 32,136

I hereby certify that the enclosed or attached

August 9, 2002

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Amit Jain et al.

Serial No.:

10/063,986

Examiner:

Unassigned

Group Art Unit:

2661

Confirmation No.:

3822

Title: MANAGING ACCESS CONTROL

WITHIN SYSTEM TOPOLOGIES **USING CANONICAL ACCESS** CONTROL REPRESENTATIONS

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

LETTER Re MISSING PARTS

In response to the Notice To File Missing Parts of Application mailed June 11, 2002, enclosed herewith are Declarations (2 copies, 3 sheets each) executed by the inventors, whose signatures did not appear on the Declaration as originally filed.

Authorization is hereby given to charge any fees, including fees under 37 CFR 1.16(e), to Deposit Account 09-0465. A duplicate copy of this letter is enclosed along with a copy of the Notice.

Respectfully/submitted,

By:

Br A. Garnett, Reg. 32,136

IBM Corporation

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Docket No.:

BEA9-2000-0003-US1 1

Serial No.:

10/063,986

Letter -- Missing Parts

August 9, 2002